FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE REV. 2-2005) ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 19002 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 541645 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2003/016981 26 December 2003 (26.12.2003) 6 January 2003 (06.01.2003) TITLE OF INVENTION SEMICONDUCTOR STORAGE APPARATUS APPLICANT(S) FOR DO/EO/US Takeshi Honda, Noboru Sakimura and Tadahiko Sugibayashi Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. The US has been elected (Article 31). 4. 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. 🗌 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🛛 is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. 🗌 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🛛 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).  $\boxtimes$ 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13.  $\boxtimes$ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  $\boxtimes$ 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  $\boxtimes$ 22. Express Mail Label No. EV 213896754 US  $\boxtimes$ 23. Other items or information: Twenty-one (21) sheets of drawings Assignee: NEC Corporation of Tokyo, Japan

## JC14 Rec'd PCT/PTO C 6 JUL 2005 PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. Lift known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.						<del> </del>		
10/541645				PCT/JP2003/016981			ATTORNEY'S DOCKET NUMBER  19002	
The following fees are submitted:							CALCULATIONS	PTO USE
24. 🛛 Basic national fee						300	\$ \$300.00	
25. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$100  All other situations. \$200							\$ \$200.00	
26. Search fee							\$ \$400.00 \$ \$900.00	
							\$ \$700.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets					RATE			
74 - 100 =	0 /50 =		0		× \$250.0	00	\$ \$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).							\$	
CLAIMS NUMBER FILED NUMBER EXTRA RATE						***	18 Ti bi	1
Total claims	13	- 20 =	0	<b>x</b> .	\$50.0	00	\$ \$0.00	
Independent clair	ms 1	- 3=	0	х	\$200.0	00	\$ \$0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable)							\$ \$0.00	
TOTAL OF ABOVE CALCULATIONS =							\$ \$900.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$ \$0.00	
<del></del>							\$ \$900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$ \$0.00	
							\$ \$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +							\$ \$0.00	
TOTAL FEES ENCLOSED =							\$ \$900.00	
							Amount to be	\$
							Amount to be	\$
a. 🔀 A check in the amount of \$ \$900.00 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in the amount of to cover the above fees A duplicate copy of this sheet is enclosed.								
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1013/SSMP . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
	RESPONDENCE	ROAL ON HATT	1					
Leopold Presser SIGNATUR								
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SCULLY, SCOTT, MURPHY & PRESSER  400 Garden City Plaza, Suite 300								<u></u> .
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(516) 742-4343							TION NUMBER	

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)** Applicant(s): Takeshi Honda, et al. 19002 **Group Art Unit** Customer No. Application No. Filing Date Examiner Unassigned Unassigned Herewith Unassigned 23389 SEMICONDUCTOR STORAGE APPARATUS Invention: I hereby certify that the following correspondence: New U.S. Patent Application Under 35 U.S.C. 371 (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 6, 2005 (Date) **Leopold Presser** (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EN 213896754 US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.

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